

Pharmacy Benefit Manager Checklist:

Pharmacy Benefit Manager Name: _____

DOI Number: _____

Contact Name: _____

Contact Phone Number: _____

Contact Email: _____

Please specify if your PBM is delegated to perform functions or is delegating for the entity listed below:

Pharmacy Benefit Manager name delegating to or contracted by to perform functions for: _____

Delegated Entity Contact and Email: _____

Please complete a checklist for each individual delegated entity

Function	PBM	Delegate	N/A
Contracts directly or indirectly with pharmacies to provide prescription drugs to individuals. KRS 304.9-020(15)(a) KRS 304.17A-161			
Administers a prescription drug benefit. KRS 304.9-020(15)(b) KRS 304.17A-161			
Processes or pays pharmacy claims. KRS 304.0-020(15)(c) KRS 304.17A-161			
Creates or updates prescription drug formularies. KRS 304.9-020(15)(d) KRS 304.17A-161			
Makes or assists in making prior authorization determinations on prescription drugs. KRS 304.9-020(15)(e) KRS 304.17A-161			
Administers rebates on prescription drugs. KRS 304.9-020(15)(f)			

Establishes a pharmacy network. KRS 304.9-020(15)(g)			
KRS 304.17A-161			
Establish a MAC price and pricing appeal process. KRS 304.17A-162			
806 KAR 17:575			
Sends the acknowledgement letter for investigating the MAC appeal. 806 KAR 17:575			
KRS 304.17A-161			
Sends the granted MAC appeals letter. KRS 304.17A-162(b)(5)			
KRS 304.17A-162(2)(c)			
Identifies the national drug price compendia or sources to obtain drug pricing data. KRS 304.17A-162(3)			
Sends the individual notification informing all contracted pharmacies of an adjustment in reimbursement as a result of a granted appeal. KRS 304.17A-162(2)(c)			
Has a P&T committee 45 C.F.R. 156.122(a)			
806 KAR 9:360(f)(3)			
Has Exception Policy 806 KAR 9:360(2)(f)(2)			
45 C.F.R. 156.122(c)			
KRS 304.17A-535(4)			
Exception Policy includes Standard procedure 72 hours . 45 C.F.R. 156.122(c)(1)(ii)			
156.122(c)(1)(ii)			

Exception Policy includes Expedited procedure 24 hours . 45 C.F.R.			
156.122(c)(2)(iii)			
Policy for access to prescriptions from in-network pharmacy 45 C.F.R. 156.122(e)			
SB188(2)(1)			
*will add new section to KRS 304.17A			